

08 - 09 - 01

FISH & RICHARDSON P.C.

4350 La Jolla Village Drive
Suite 500
San Diego, California
92122

Telephone
858 678-5070

Facsimile
858 678-5099

Web Site
www.fr.com

August 7, 2001

Attorney Docket No.: 06618-816002

Box Patent Application

Commissioner for Patents

Washington, DC 20231

Presented for filing is a new divisional patent application of:

Applicant: TSUEN-HSI LIU, DEMETRI PSALTIS, FAI H. MOK AND GAN
ZHOU

Title: APPARATUS AND METHOD FOR STORING AND/OR READING
DATA ON AN OPTICAL DISK

Enclosed are the following papers, including those required to receive a filing date
under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	78
Claims	1
Abstract	1
Declaration	5
Drawing(s)	19

Enclosures:

- Information Disclosure Statement: Applicants call attention to documents listed on attached form PTO-892, 2 pages, listing documents cited in the parent application(s). Per Rule 97(d), copies of those documents are not provided. Please confirm that these have been considered in this application by returning a copy of the Forms PTO-892 with the examiner's initials.

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL 870691945US

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit August 7 2001

Signature Gildardo Vargas

Typed or Printed Name of Person Signing Certificate

08/07/01

JC944 U.S. PTO

Frederick P. Fish
1855-1930

K. Richardson
1859-1951



BOSTON

DALLAS

DELAWARE

NEW YORK

SAN DIEGO

SILICON VALLEY

TWIN CITIES

WASHINGTON, DC

08/07/01

Commissioner for Patents

August 7, 2001

Page 2

- Rule 63 declaration, copy from a previous application under rule 63(d) for continuation or divisional only.
- Postcard.

The prior application is assigned of record to the California Institute of Technology by virtue of an assignment submitted to the patent office and recorded on April 21, 1995, at Reel 7481 and Frame 0277 and to Holoplex, a California Corporation, by virtue of an assignment submitted to the patent office and recorded on April 21, 1995, at Reel 7481 and Frame 0226.

This application is a divisional (and claims the benefit of priority under 35 USC 120) of U.S. application serial no. 08/427,721, filed April 21, 1995, which is a continuation-in-part of U.S. application serial no. 08/279,492, filed July 22, 1994. The disclosure of the prior applications is considered part of (and is incorporated by reference in) the disclosure of this application.

This application presents a claim corresponding to claim 1 of the parent case.

This application is entitled to small entity status, established in a previous application and still proper.

There is 1 independent claim in this application.

Basic filing fee	\$355
Total claims in excess of 20 times \$9	\$0
Independent claims in excess of 3 times \$40	\$0
Fee for multiple dependent claims	\$0
Total filing fee:	\$355

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (858) 678-5070.

FISH & RICHARDSON P.C.


Commissioner for Patents
August 7, 2001
Page 3

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

SCOTT C. HARRIS
Fish & Richardson P.C.
4350 La Jolla Village Drive, Suite 500
San Diego, CA 92122

Respectfully submitted,



Scott C. Harris
Reg. No. 32,030
Enclosures
SCH/jkh
10126705.doc